

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Hsing Cheng  
Hamid R. Khazaei  
Harmeet Singh  
Emily M. Squires

Examiner:

Group Art:

Title: OPTICAL GRATING BASED  
MULTIPLEXER DEVICE WITH  
POWER TAP CAPABILITY

Serial No.:

Filed: October 22, 2003

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Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Applicants respectively request that the following references be considered in the examination of the above-identified application. A copy of each reference is enclosed.

Cited Art

U.S. Patent No. 5,748,815 (Hamel et al.), issued on May 5, 1998, and cited at page 1 of the specification.

US 2002/0057975 A1 (Kaneko), published on May 16, 2002, and cited at page 2 of the specification.

UK Patent GB 2,351,360, published December 27, 2000.

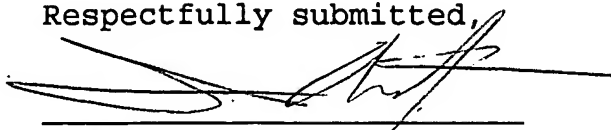
A book entitled "Introduction to Optics" by Frank L. Pedrotti and Lno S. Pedrotti, published by Prentice Hall, 1987, pages 415 and 421, and cited on page 11 of the specification.

REMARKS

Under Rule 37 C.F.R. 1.98(a), which is effective as of March 16, 1992, applicant submits that no specific comments are necessary for any of the above cited English Language publications.

For the Examiner's convenience, applicants have attached a completed PTO-1449 form hereto.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Irwin Ostroff', is written over a horizontal line.

Irwin Ostroff  
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APPLICANT

Hsing Cheng et al.

FILING DATE

October 22, 2003

GROUP

**LIST OF CITATIONS BY APPLICANT**

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5 7 4 8 8 1 5	5/5/98	A. Hamed et al.	385	37	8/28/96
AB	2 0 0 2 / 0 0	57875 5/16/02	T. Kaneko			11/15/01
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AL 2 3 5 1 3 6 0	12/27/00	United Kingdom			
AM					
AN					
AO					
AP					

**OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)**

AR	Book entitled " Introduction To Optics", by Frank L. Pedrotti and Leno S. Pedrotti, Prentice Hall, 1987, pages 415 and 421.
AS	
AT	

EXAMINER

DATE CONSIDERED